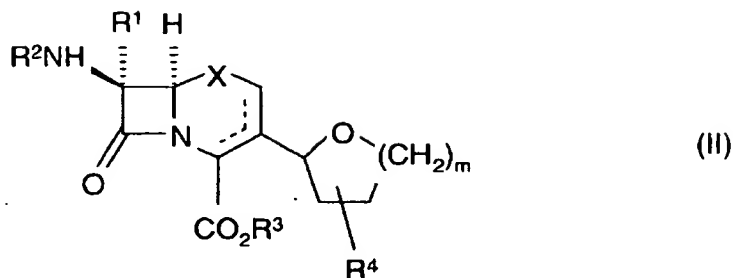
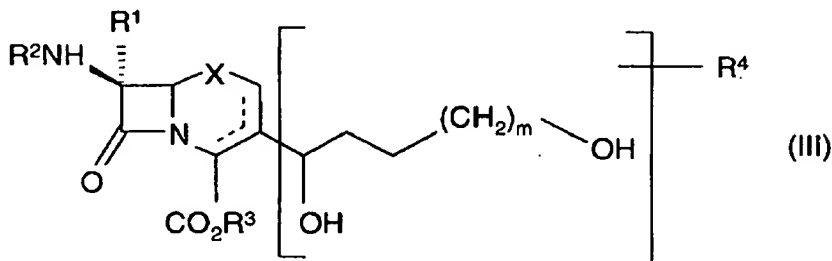


## Listing of Claims

Claim 1. (Currently Amended) A process for the preparation of a compound of formula (II):



[[which includes the step of]] comprising cyclising a compound of formula (III):



wherein in formulae (II) and (III), R<sup>1</sup> is hydrogen, methoxy or formamido;

\_\_\_\_\_ R<sup>2</sup> is an acyl group;

\_\_\_\_\_ [[CO<sub>2</sub>R<sup>3</sup> is a carboxy group or a carboxylate anion, or R<sup>3</sup> is a readily removable carboxy protecting group]] R<sup>3</sup> is hydrogen or an ester-forming carboxyl-protecting group which may be removed under conventional conditions, or an *in vivo* hydrolysable ester radical;

\_\_\_\_\_ R<sup>4</sup> represents hydrogen or up to four substituents independently selected from alkyl, alkenyl, alkynyl, alkoxy, hydroxy, halogen, amino, alkylamino, acylamino, dialkylamino, CO<sub>2</sub>R, CONR<sub>2</sub>, SO<sub>2</sub>NR<sub>2</sub> (where R is hydrogen or C<sub>1-6</sub> alkyl), aryl and heterocyclyl[[, which may be the same or different]], where in formula (III) the substituent(s) when other than hydrogen may replace any of the hydrogen atoms bonded to carbon atoms in the side chain;

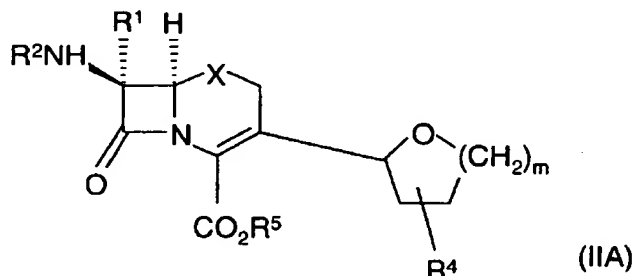
\_\_\_\_\_ X is S, SO, SO<sub>2</sub>, O, or CH<sub>2</sub>; [[and]]

\_\_\_\_\_ m is 1 or 2; and

\_\_\_\_\_ the dotted line indicates [[that the compounds (II) and (III) may be a 2-cephem or a 3-cephem system,]] a double bond between C2 and C3 or between C3 and C4 of formula (II).

[[and where in formula (III) the  $R^4$  substituent(s)  $[[R^4]]$  when other than hydrogen may replace any of the hydrogen atoms bonded to carbon atoms in the side chain.]]

Claim 2. (Currently Amended) [[A]] The process according to claim 1 wherein the compound of formula (II) is a [[3-cephem]] compound of formula (IIA) where the double bond is between the C3 and C4 carbons of formula (II) or a pharmaceutically acceptable salt or pharmaceutically acceptable *in vivo* hydrolysable ester thereof:



[[wherein  $R^1$ ,  $R^2$ ,  $R^4$ ,  $m$  and  $X$  are as defined with respect to formula (II)]]

wherein in formulae (II) and (III),  $R^1$  is hydrogen, methoxy or formamido:

$R^2$  is an acyl group;

$R^4$  represents hydrogen or up to four substituents independently selected from alkyl, alkenyl, alkynyl, alkoxy, hydroxy, halogen, amino, alkylamino, acylamino, dialkylamino,  $CO_2R$ ,  $CONR_2$ ,  $SO_2NR_2$  (where  $R$  is hydrogen or  $C_{1-6}$  alkyl), aryl and heterocyclyl;

$X$  is  $S$ ,  $SO$ ,  $SO_2$ ,  $O$ , or  $CH_2$ ;

$m$  is 1 or 2; and

the dotted line indicates a double bond between C2 and C3 or between C3 and C4 of formula (II); and [[the group]]

$CO_2R^5$  is  $CO_2R^3$  where  $[[CO_2R^3$  is a carboxy group, or a carboxylate anion]]  $R^3$  is hydrogen or an ester-forming carboxyl-protecting group which may be removed under conventional conditions, or an *in vivo* hydrolysable ester radical.

Claim 3. (Currently Amended) [[A]] The process according to claim 1 or 2 wherein  $X$  is  $S$ ,  $O$ , or  $CH_2$ .

Claim 4. (Currently Amended) [[A]] The process according to claim[[s]] 1, or 2

[[or 3]] wherein the cyclic ether at the 3-position of the cephalosporin nucleus in formulae (II) and (IIA) is unsubstituted.

Claim 5. (Currently Amended) [[A]] The process according to [[any one of]] claim[[s]] 1 [[to 4]] or 2 wherein m is 1, so that the cyclic ether at the 3-position in formulae (II) and (IIA) is a tetrahydrofuranyl system.

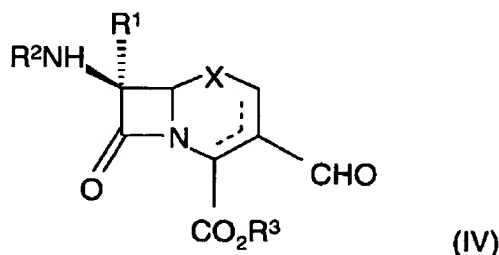
Claim 6. (Currently Amended) [[A]] The process according to claim 5 wherein the cyclic ether at the 3-position in formulae (II) and (IIA) is an (S)-tetrahydrofuran-2-yl ring system.

Claim 7. (Currently Amended) [[A]] The process according to [[any one of the preceding]] claim[[s]] 1 or 2 wherein in formula (III) when m is 1 the 1, 4-dihydroxylbut-1-yl side chain is the less polar diastereoisomeric form.

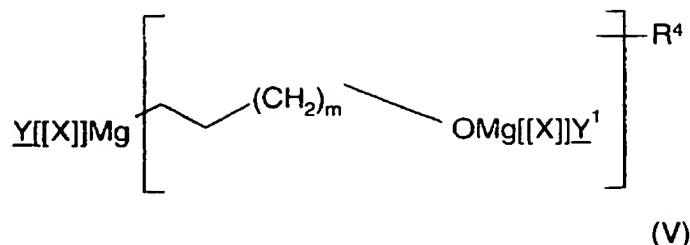
Claim 8. (Currently Amended) [[A]] The process according to [[any one of the preceding]] claim[[s]] 1 or 2 wherein the cyclisation reaction of the process of the invention is carried out by treatment of the compounds (III) with an acid catalyst.

Claim 9. (Currently Amended) [[A]] The process according to [[any one of]] claim[[s]] 1 [[to 7]] or 2 wherein the cyclisation reaction is carried out by treatment of the compounds (III) with an acylating agent.

Claim 10. (Currently Amended) [[A]] The process according to [[any one of the preceding]] claim[[s]] 1 or 2, wherein the compound of formula (III) is prepared by reacting a compound of formula (IV):



with a compound of formula (V):



[[where R<sup>4</sup> and m are as defined with respect to formula (III), and X and X<sup>1</sup>]]

wherein R<sup>1</sup> is hydrogen, methoxy or formamido;

R<sup>2</sup> is an amino-protecting group;

R<sup>3</sup> is an ester-forming carboxyl-protecting group which may be removed under conventional conditions;

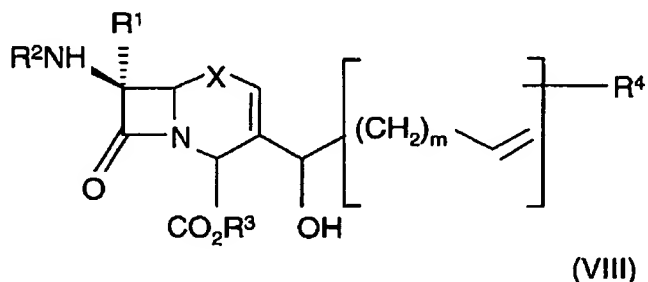
R<sup>4</sup> represents hydrogen or up to four substituents independently selected from alkyl, alkenyl, alkynyl, alkoxy, hydroxy, halogen, amino, alkylamino, acylamino, dialkylamino, CO<sub>2</sub>R, CONR<sub>2</sub>, SO<sub>2</sub>NR<sub>2</sub> (where R is hydrogen or C<sub>1-6</sub> alkyl), aryl and heterocyclyl;

m is 1 or 2;

Y and Y<sup>1</sup> are the same or different halogen, and

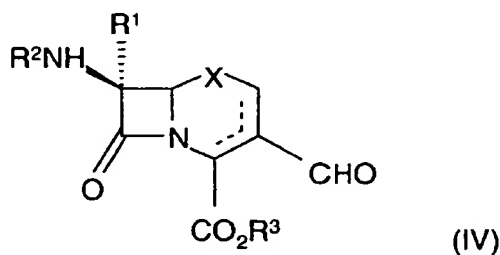
the dotted line in formula (IV) indicates a double bond between C2 and C3 or between C3 and C4 of formula (IV).

Claim 11. (Currently Amended) [[A]] The process according to [[any one of]] claim[[s]] 1 [[to 10]] or 2, wherein the compound of formula III is prepared [[by converting a compound of formula (IV) [[(as defined in claim 10) into]] from a compound of formula (VIII):



wherein said compound of formula VIII is then hydroxylated to form a compound of formula III; [[where R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, m, and X are as defined with respect to formula (III).]]

wherein the compound of formula (VIII) is prepared from a compound of formula (IV):



wherein  $R^1$  is hydrogen, methoxy or formamido;

$R^2$  is an amino-protecting group;

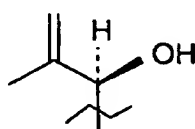
$R^3$  is an ester-forming carboxyl-protecting group which may be removed under conventional conditions;

$R^4$  represents hydrogen or up to four substituents independently selected from alkyl, alkenyl, alkynyl, alkoxy, hydroxy, halogen, amino, alkylamino, acylamino, dialkylamino,  $CO_2R$ ,  $CONR_2$ ,  $SO_2NR_2$  (where R is hydrogen or  $C_{1-6}$  alkyl), aryl and heterocyclyl;

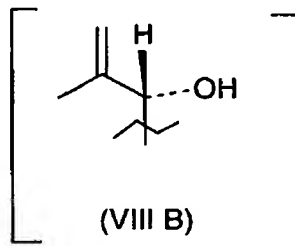
m is 1 or 2; and

the dotted line in formula (IV) indicates a double bond between C2 and C3 or between C3 and C4 of formula (IV).

Claim 12. (Currently Amended) [[A]] The process according to claim 11 wherein the compound of formula (VIII) has a hydroxyl group configuration (VIII A):



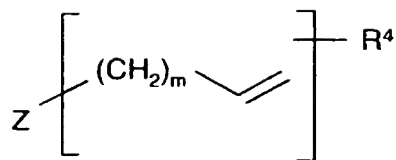
(VIII A)



(VIII B)

Claim 13. (Currently Amended) A process according to claim [[11 or]] 12 wherein the compound of formula (IV) is formed into a compound of formula (VIII) by reaction with an organometallic reagent.

Claim 14. (Currently Amended) [[A]] The process according to claim 13 wherein the organometallic reagent is a compound of formula (IX):



(IX)

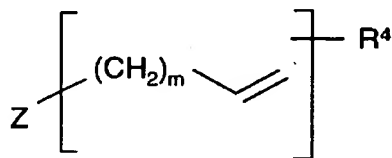
[[where m and R<sup>4</sup> are as defined in formula (VIII), and]]

\_\_\_\_\_ wherein R<sup>4</sup> represents hydrogen or up to four substituents independently selected from alkyl, alkenyl, alkynyl, alkoxy, hydroxy, halogen, amino, alkylamino, acylamino, dialkylamino, CO<sub>2</sub>R, CONR<sub>2</sub>, SO<sub>2</sub>NR<sub>2</sub> (where R is hydrogen or C<sub>1-6</sub> alkyl), aryl and heterocyclyl;

\_\_\_\_\_ m is 1 or 2; and

\_\_\_\_\_ Z is [[Y]]Z'Mg where [[Y]]Z' is a halogen.

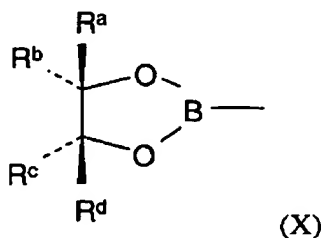
Claim 15. (Currently Amended) [[A]] The process according to claim 11 or 12 wherein the compound of formula (VIII) is prepared stereospecifically from a compound of formula (IV) by the use of a compound (IX):



(IX)

in which Z is a chirally inducing group which leads to preferential formation of a desired configuration of the hydroxyl group in the compound (VIII).

Claim 16. (Currently Amended) [[A]] The process according to claim 15 wherein Z is the boronate group (X):



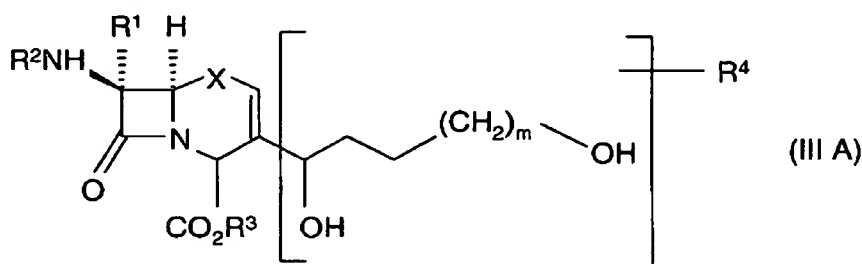
(X)

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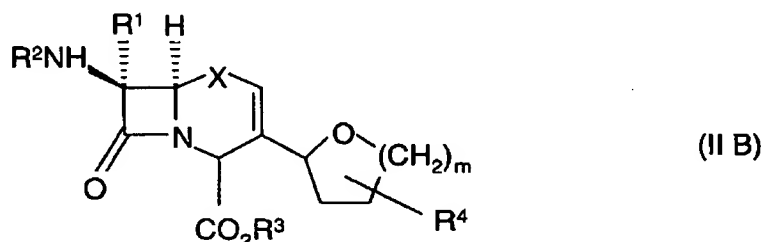
where  $R^a$ ,  $R^b$ ,  $R^c$ , and  $R^d$  are independently selected from hydrogen, alkyl and protected carboxy.

Claim 17. (Currently Amended) [[A]] The process according to claim 16 wherein group (X) is [[a pinacol boronate group or]] a tartrate boronate group wherein  $R^a$  is alkylcarboxylate,  $R^b$  is hydrogen,  $R^c$  is alkylcarboxylate and  $R^d$  is hydrogen.

Claim 18. (Currently Amended) [[A]] The process according to [[any one of]] claim[[s]] 10 [[to 17]] wherein the compound (IV) is [[converted into a 2-cephem]] alkylated with a compound of formula (V) to form a compound of formula (III), which is then hydroxylated to form a compound of formula (III A):



which is [[converted during the cyclisation process of the invention into a 2-cephem]] then cyclized to form a compound of formula (II B):



[[where  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ , X and m are as defined in formulae (II) and (III) above, and the 2-cephem]] and the compound of formula (IIB) is then converted into a [[3-cephem]] a compound formulae (II);

wherein  $R^1$  is hydrogen, methoxy or formamido;

$R^2$  is an acyl group;

$R^3$  is hydrogen or an ester-forming carboxyl-protecting group which may be removed under conventional conditions, or an *in vivo* hydrolysable ester radical;

R<sup>4</sup> represents hydrogen or up to four substituents independently selected from alkyl, alkenyl, alkynyl, alkoxy, hydroxy, halogen, amino, alkylamino, acylamino, dialkylamino, CO<sub>2</sub>R, CONR<sub>2</sub>, SO<sub>2</sub>NR<sub>2</sub> (where R is hydrogen or C<sub>1-6</sub> alkyl), aryl and heterocyclyl, where in formula (III) the substituent(s) when other than hydrogen may replace any of the hydrogen atoms bonded to carbon atoms in the side chain;

X is S, SO, SO<sub>2</sub>, O, or CH<sub>2</sub>;

m is 1 or 2; and

the dotted line indicates a double bond between C2 and C3 or between C3 and C4 of formula (II).

Claim 19. (Withdrawn) A process according to any one of the preceding claims, substantially as hereinbefore described, with reference to the accompanying examples.

Claim 20. (Withdrawn) The product of a process according to any one of claims 1 to 19.

Claim 21. Delete

Claim 22. (New) The process according to claim 1 where the ester-forming carboxyl-protecting group of R<sup>3</sup> is benzyl, p-methoxybenzyl, benzoylmethyl, p-nitrobenzyl, 4-pyridylmethyl, 2,2,2-trichloroethyl, 2,2,2-tribromoethyl, *t*-butyl, *t*-amyl, allyl, diphenylmethyl, triphenylmethyl, adamantyl, 2-benzyloxyphenyl, 4-methylthiophenyl, tetrahydrofur-2-yl, tetrahydropyran-2-yl, pentachlorophenyl, acetonyl, *p*-toluenesulfonylethyl, methoxymethyl, or an oxime radical of formula -N=CHR<sup>7</sup> where R<sup>7</sup> is aryl or heterocyclic.

Claim 23. (New) The process according to claim 1 where the *in vivo* hydrolysable ester group of R<sup>3</sup> is acetoxymethyl, pivaloyloxymethyl,  $\alpha$ -acetoxylethyl,  $\alpha$ -pivaloyloxyethyl, 1-(cyclohexylcarbonyloxy)prop-1-yl, (1-aminoethyl)carbonyloxymethyl, ethoxycarbonuloxymethyl,  $\alpha$ -ethoxycarbonuloxylethyl, propoxycarbonyloxyethyl, dimethylaminomethyl, dimethylaminoethyl, diethylaminomethyl, diethylaminoethyl, 2-(isobutoxycarbonyl)pent-2-enyl, 2-(ethoxycarbonyl)but-2-enyl, phthalidyl, and dimethoxyphthalidyl.